

# Agentive Modals

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## 1. Introduction

Ability modals are modals like those used in (1), (2), and (3): modals that can be paraphrased with the dedicated ability constructions ‘able’ or ‘has the ability/capacity’.

- (1) John can go swimming this evening.
- (2) Mary can touch her nose with her tongue.
- (3) Louise is able to pick Roger up from work today.

The modal constructions in (4), (5), and (6) are examples of the duals of ability modals, which we call *compulsion modals*:

- (4) Lara cannot but eat another cookie right now.
- (5) I have to sneeze right now.
- (6) I cannot *not* climb mountains.

We call the class of ability and compulsion modals, taken together, *agentive modals*. In this essay, we give a new theory of agentive modals.

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We begin by discussing three existing accounts of agentive modals: the orthodox existential account, according to which a sentence like ‘John can go swimming this evening’ means that *John goes swimming this evening* is compatible with a contextually determined set of worlds; the universal account, which holds that ‘John can go swimming this evening’ means that the proposition *John goes swimming this evening* is entailed by a contextually determined set of worlds; and the conditional account, which holds that ‘John can go swimming this evening’ means that if John tried to go swimming this evening, he would succeed.

We argue that none of these accounts is satisfactory. Along the way, we enumerate two desiderata for a satisfactory account of agentive modality. First, it must make the right predictions about the neglected duals of ability modals, compulsion modals (the dual of an operator  $O$  is a term that has the meaning of  $\lceil \neg O \neg \rceil$ ). This follows from the broader methodological point that a semantic theory for a certain expression must make plausible predictions not only about that expression’s meaning when it occurs unembedded but also about its meaning when it is embedded under operators like negation. Second, any satisfactory account of agentive modals must capture the *hypothetical* nature of ability ascriptions: ability ascriptions tell us what an agent *would* do under various alternative circumstances. But it must do so while avoiding a class of potent counterexamples to the conditional analysis.

In the course of our discussion, we identify two new classes of modals: the duals of ability modals (*compulsion modals*) and the class that contains both ability and compulsion modals (*agentive modals*). We suggest that these classes play key roles in a broad range of philosophical debates.

Our account, the *act conditional analysis*, builds on the central insights of the orthodox analysis and the conditional analysis. We treat ability ascriptions as a kind of *hypothetical guarantee*. When someone says, ‘John can go swimming this evening’, she is informing her interlocutors that *going swimming this evening* is, in a certain sense, within John’s control. And we treat compulsion modals as a kind of *nonhypothetical guarantee*. When someone says, ‘I cannot but eat another cookie’, she is saying that refraining from eating another cookie is *not* an option for her: she is guaranteed to eat another one.

We elucidate these ideas by arguing that a sentence like ‘John can go swimming this evening’ means that there is some *practically available* action (in a sense we make precise) such that if John tried to do that action, he would go swimming this evening. This account posits a central

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connection between agentive modals and two kinds of facts: *conditional* facts and *epistemic* facts. Whether an agent is said to be able to do (or refrain from doing) something depends on what would happen in some (possibly nonactual) scenario in which she tries to do some relevant action. It also depends on whether we judge that the agent *knows how*, in some sense, to perform the action, since we argue that whether an action counts as practically available—and thus within the domain of quantification for agentive modals—partly depends on whether the agent knows that it is a way of doing the modal’s prejacent.<sup>1</sup> Whether we treat an action as having this status depends, in part, on whether we have an *objective* or *subjective* description of the agent’s practical situation in mind. We thus distinguish between *objective* and *subjective* readings of agentive modals, a distinction that parallels the distinction between objective and subjective readings of deontic modals.

In the first part of the essay, we focus on *specific* agentive modal ascriptions: agentive modal ascriptions that have as their prejacent a *specific action*—an action indexed to a specific time—as in (1), (3), (4), and (5). We conclude the essay by enriching our account with a generic operator to make sense of *generic* agentive modal ascriptions—agentive modal ascriptions like those in (2) and (6), which have as their prejacent a *generic* action, one not tied to a specific time. We show that our enriched account makes sense of the fact that many agentive modal claims say something general about what an agent is equipped to do (or refrain from doing) in normal circumstances.

## 2. The Orthodox Account

We start by discussing the orthodox account of natural language modals. This account appears to successfully model a variety of “flavors” of modality such as deontic, epistemic, and circumstantial.<sup>2</sup> But we argue that this account does not make sense of the case we are interested in: agentive modals. In particular, it runs into trouble when it comes to ability modals.

The orthodox account, as applied to agentive modals, traces back to Hilpinen 1969, is taken up in Lewis 1976, and is spelled out in Kratzer

1. The *prejacent* of a modal is ambiguously the clause the modal embeds, or the denotation of that clause.

2. Though a variety of challenges have been leveled at the application of this account to deontic and epistemic modals in recent years.

1977, 1981 (we follow Kratzer’s formulation in our presentation). The orthodox account treats agentive ‘can’ as an existential quantifier whose domain is a set of worlds supplied by context, in particular, by a contextually supplied *modal base*  $h_c$  and *ordering source*  $g_c$ . Both of these are functions from worlds to sets of propositions. Given a world  $w$ , they together determine a set of “best” worlds relative to  $h_c$  and  $g_c$ ,  $\text{BEST}_{h_c, g_c, w}$ .<sup>3</sup> Then:<sup>4</sup>

(7) **Orthodox Account:**

$$\llbracket \text{S can } \varphi \rrbracket^{c,w} = 1 \text{ iff } \exists w' \in \text{BEST}_{h_c, g_c, w} : \llbracket \varphi \rrbracket^{c,w'} = 1.$$

Informally,  $\llbracket \text{S can } \varphi \rrbracket^{c,w}$  is true just in case  $\llbracket \varphi \rrbracket^{c,w}$  is true at some “best” world; in other words, just in case  $\llbracket \varphi \rrbracket^{c,w}$  is compatible with some contextually salient set of worlds.

On the standard implementation of this account for agentive modals, the modal base is circumstantial, and the ordering source takes each world to a set of propositions that “holds fixed certain intrinsic features of the agent in question” at that world (Vetter 2013, 7; see also Portner 2009). But the resulting truth-conditions are too weak.<sup>5</sup> To see this, suppose Jim and Jo are at a crucial stage in a game of darts and Jo needs to hit a bull’s-eye to win the game. Jo’s overzealous young child Susie exclaims (8):

(8) Let me take your turn! I can hit the bull’s-eye on this throw.

Susie hardly ever even *hits* the dartboard when she throws a dart, and she has never gotten a dart to stick. Intuitively, then, (8) is not true in this

3. Specifically, let  $\preceq_{g,w}$  preorder  $\cap h(w)$  as follows:  $x \preceq_{g,w} y$  just in case  $\{\psi \in g(w) : y \in \psi\} \subseteq \{\psi \in g(w) : x \in \psi\}$ . Then let  $\text{BEST}_{h,g,w}$  be the set of worlds in  $\cap h(w)$  that are not strictly outranked by any other worlds, according to  $\preceq_{g,w}$ . For simplicity, we assume that there is such a set (the *limit assumption*; see Lewis 1981, Stalnaker 1981 for discussion). Relaxing this assumption does not help with the difficulties for the existential analysis; Portner (2009) suggests making ‘can’ a *good possibility* modal, which diverges from the present proposal if we do relax the limit assumption, but this makes the dual too weak: it predicts that  $\llbracket \text{S cannot but } \varphi \rrbracket^{c,w}$  is true if (say) there is an alternating descending infinite sequence of  $\llbracket \varphi \rrbracket^{c,w}$  and  $\llbracket \neg \varphi \rrbracket^{c,w}$  worlds among the best worlds.

4.  $\llbracket \varphi \rrbracket^{c,w}$  is  $\varphi$ ’s extension relative to context  $c$  and world  $w$ ,  $\llbracket \varphi \rrbracket^{c,w}$  its intension. Unless otherwise noted, we treat ‘can’ and ‘able’ interchangeably and intend them to be interpreted agentively throughout.

5. We will assume throughout what follows that the modal base is circumstantial, and only consider changes to the ordering source; changing the modal base won’t help with the problems we raise. Kenny (1976) gives a different, influential critique of the orthodoxy, which we discuss in section 6.3.

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scenario.<sup>6</sup> We do not say that (8) is clearly false; its negation, ‘Susie cannot hit the bull’s-eye’, does not strike us as clearly true either (a fact that our theory will try to make sense of by adverting to indeterminacy). We do say, however, that (8) is not clearly true. But, on the approach just sketched, (8) is predicted to be clearly, determinately true, since it is certainly *compatible* with Susie’s intrinsic properties, along with local circumstances, that she hit a bull’s-eye.

Note that (8) *does* have a true reading, which can be paraphrased as (9):

- (9) It can happen that I hit the bull’s-eye on this throw.

This is a circumstantial (or “metaphysical”) reading of ‘can’. The present proposal adequately accounts for this reading but not for the prominent agentive reading of (8), brought out by (10) rather than (9).

- (10) I’m able to hit the bull’s-eye on this throw.<sup>7</sup>

On the most natural way of spelling it out, then, the orthodox account provides a suitable analysis of circumstantial modals but not of ability modals.

A natural first reaction to this case is to limit our domain of quantification to *normal worlds*, by including in the value of the ordering source for a world *w* a set of propositions describing what is *normal* at *w*. But this won’t solve our problem. First, it’s not clear that normality helps even in this case: although hitting the bull’s-eye is unlikely, it is not obviously *abnormal*. But suppose we grant that it is abnormal, in a relevant sense, for Susie to hit the bull’s-eye. Now the proposal overgenerates: agents are often able to do things that are highly abnormal in just this sense. For example, Susie is a competent speaker of English, and thus is able to utter the sentence (11):

6. To fix intuitions, imagine that Susie does throw the dart and that it falls far short of the dartboard.

7. A generalization seems to be lurking behind this test: in the semantic/syntactic sense, agentive modals are control modals, whereas circumstantial modals are raising modals. In the discussion of the conditional analysis and our own analysis, we will assume that the pre-jacent of agentive modals denotes an action, rather than a proposition. This assumption is made for concreteness and does not have substantial import for either view: we do not mean to take a stand on the underlying question of the semantics of control (on which see, among others, Chierchia 1989, Brennan 1993, Wurmbrand 2002).

(11) The world is everything that is the case.

But, being a small child and nonphilosopher, circumstances in which she utters this sentence are, intuitively, at least as abnormal as ones in which she hits the bull's-eye. The present proposal would thus wrongly predict that (12) is false:

(12) Susie can now utter 'The world is everything that is the case'.

But (12) is clearly, uncontroversially true. Incorporating normality into the ordering source will thus help only if we can spell out a notion of normality that treats Susie's hitting a bull's-eye as abnormal, but Susie's uttering (11) as normal. We don't see a natural way to walk this line.

A different approach has abilities themselves, rather than facts about normality, determine what worlds count as best.<sup>8</sup> For instance, we could include  $\llbracket \varphi \rrbracket^c$  in the value of the ordering source at  $w$  just in case  $\ulcorner S \text{ can } \varphi \urcorner$  is true at  $\langle c, w \rangle$ . But this doesn't help with our problems: as long as hitting the bull's-eye is consistent with Susie's abilities, we predict that (8) is true. Suppose Susie has  $k$  (specific) abilities, of which at most  $n$  can be realized in any given world. Suppose further that for some  $n$  of her abilities, realizing all of them, together with local circumstances, is compatible with her hitting a bull's-eye (we could easily flesh out our case so that this holds). In that case, some best world will be one where Susie hits a bull's-eye, and so we will predict that (8) is true. Even if we let abilities directly determine the accessibility relation, then, the orthodox framework still cannot make the right predictions.

The orthodox semantics thus does not provide a fruitful framework for analyzing ability ascriptions. We suggest that this is because it analyzes them in terms of *compatibility*, an overly weak notion.<sup>9</sup>

### 3. The Universal Account and Compulsion Modals

In response to worries like these, some have suggested that agentive 'can' has universal, rather than existential, force.<sup>10</sup> We don't think such an account can work. To see why, we'll begin with a brief excursus on the dual of agentive 'can'.

8. Thanks to John Maier for suggesting this approach.

9. This isn't to say that there is *no* way for the orthodox approach to account for ability modals; see the conclusion.

10. Among others, see Kenny 1976, 139; Brown 1988; Giannakidou 2001; Giannakidou and Staraki 2012.

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The dual of ‘can’ has been largely neglected in the literature. Indeed, some have claimed that agentive ‘can’ has no dual.<sup>11</sup> A weak interpretation of this claim says that there is no *dedicated* lexical dual of ‘can’, a term uniquely interpreted as the dual of agentive ‘can’. This seems true in English—all of the lexical items that express the dual of agentive ‘can’ can also express other flavors of modality—but this fact is not particularly interesting for our purposes, nor is it unique to agentive ‘can’ (it is also true for other flavors of modality). A strong interpretation of the claim says that there is no way to express the semantic dual of agentive ‘can’. This, however, is clearly false. As with any other modal operator, we can form the dual of ‘can’ by putting a negation above and below it; ‘cannot but’ and ‘cannot *not*’ (italics indicating stress), as in (13) and (14), realize this semantic pattern, and so are both duals of ‘can’.<sup>12</sup>

(13) Ginger cannot but eat another cookie right now.

(14) Ginger cannot *not* eat another cookie right now.

‘Must’ and ‘have to’ can also have the meaning of the dual of ‘can’, as in (15) and (16), which seem to be equivalent to a paraphrase with ‘cannot but’:

(15) I have to sneeze. (Kratzer 1977)

(16) I must climb K2 this summer.

We believe that data like these have been neglected in part because of an infelicity of nomenclature. ‘Cannot but’ is not an *ability* modal. (13) does not say that Ginger is *able* to do something, but that she is *compelled* to do something. We thus propose to call modals with the meaning of ‘cannot but’ *compulsion modals*. This difference in meaning, however, doesn’t show that ‘cannot but’ is not the dual of ‘can’; rather, it illustrates the point that duals do not have the same meaning as each other. Compare deontic ‘may’, a *permission* modal. Its dual, deontic ‘must’, expresses *obligation*. ‘May’ and ‘must’ have different meanings but the same subject matter: together, they constitute a larger unified class, the class of deontic modals. Likewise, agentive ‘can’ and its dual have different meanings but the same subject matter: they too belong to a larger unified class,

11. See Hackl 1998. The two versions of this claim have not, as far as we know, been carefully distinguished in the literature, which may have led in part to the neglect of the dual of ‘can’.

12. Thanks to Stephen Yablo for pointing out the first of these.

which we call *agentive modals*.<sup>13</sup> Carefully delimiting this class is important for the study of natural language and of traditional philosophical problems. For instance, we suspect that the strong necessity modals that appear in anankastic conditionals are best analyzed as compulsion modals; likewise for the modals adverted to in philosophical discussions of freedom and practical necessity.<sup>14</sup>

Once we have the dual of ‘can’ clearly in view, we can quickly dispense with the universal analysis of ‘can’. On that analysis, ‘cannot but’ will have existential force. This can’t be squared with the intuition that ‘cannot but’ expresses compulsion: we do not see how we could make sense of this intuition if ‘cannot but’ had existential force.<sup>15</sup> The universal analysis might seem tenable when we consider only intuitions about unembedded ability ascriptions, but not when we turn our attention to ability ascriptions embedded under negation.

#### 4. The Conditional Analysis

A more promising approach than either the orthodox or universal approach treats  $\lceil S \text{ can } \varphi \rceil$  as meaning, roughly,  $\lceil S \text{ would } \varphi \text{ if } S \text{ tried to } \varphi \rceil$ .<sup>16</sup> We argue that this approach avoids the problems raised for the orthodox analysis but faces a variety of problems of its own.

The conditional analysis borrows the selection function from Stalnaker’s (1968) theory of conditionals: a contextually supplied function  $f_c(\psi, w)$  from a proposition  $\psi$  and world  $w$  to the “closest” world where  $\psi$  is true. We’ll use italics to indicate propositions. Let  $\varphi$  range over strings denoting *actions*, strings like ‘going swimming at 2 p.m.’. We will model actions as properties—functions from individuals to propositions—and assume that they are specific and indexed to a time, rather than generic actions. Let  $\llbracket \varphi(S) \rrbracket^c$  be the proposition that  $S$  does  $\llbracket \varphi \rrbracket^c$ . Then:

13. See, among others, Belnap 1991 and Maier 2015b for related but distinct usages.

14. On the former, see, for example, von Fintel and Iatridou 2005; on the latter, see Aristotle 2002, Frankfurt 1969, Williams 1982, Setiya 2007.

15. Brown (1988) takes this prediction on board, claiming, implausibly, that the dual of ‘can’ is ‘might’. Brown’s approach is formally similar to the conditional analysis, except that it does not make Stalnaker’s uniqueness assumption. The present points tell against an approach like that, but a more sophisticated version is worth exploring, such as one that adopts a homogeneity constraint like that of von Fintel 1997.

16. This is an old philosophical idea, traceable to Hume (1748) and taken up by Moore (1912), among others; for formulations in a model-theoretic framework, see especially Lehrer 1976, Cross 1986, and Thomason 2005.



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(17) **Conditional Analysis:**

$$\llbracket \text{S can } \varphi \rrbracket^{c,w} = 1 \text{ iff } \llbracket \varphi(\text{S}) \rrbracket^{c,f_c(\text{S tries to } \varphi, w)} = 1.$$

On this approach,  $\lceil \text{S can } \varphi \rceil$  is true just in case S does  $\llbracket \varphi \rrbracket^c$  in the closest world where S tries to do  $\llbracket \varphi \rrbracket^c$ ; <sup>17</sup> informally, just in case S would do  $\llbracket \varphi \rrbracket^c$  if she tried to. <sup>18</sup>

The conditional analysis (henceforth ‘CA’) does better than the orthodox existential account. Recall Susie and the bull’s-eye. Consider the conditional (18):

(18) If Susie tried to hit the bull’s-eye now, she would.

Intuitively, (18) is not clearly true. That said, it does not strike us as clearly false either. We will follow Stalnaker 1981 in saying that the actual state of affairs, together with semantic facts, does not suffice to decide whether a conditional like this is true or false: a conditional like (18) is neither determinately true nor determinately false, but rather *indeterminate*. <sup>19</sup> Crucially, the CA predicts that (19) shares the truth value of (18).

(19) Susie can hit the bull’s-eye now.

If (18) is not determinately true or determinately false, the CA predicts that (19) is not, either. This explains why we are reluctant to accept (19), as well as its negation in (20):

(20) Susie can’t hit the bull’s-eye now.

The CA also rightly predicts that (12) is clearly true, since (21) is clearly true.

17. *Trying* plays a key role in both the conditional analysis and our own analysis. We will not give a semantics for ‘try’ here (on which see, for example, Sharvit 2003 and citations therein). Because both the conditional analysis and our account analyze ability ascriptions in terms of trying and conditional reasoning, they make certain predictions about the relative rate of acquisition of certain concepts. For instance, these accounts predict that subjects will not be able to reason about abilities until they can reason about trying. This hypothesis is, as far as we know, compatible with the present state of empirical research, which suggests that children acquire the concept of trying, or purposive action in general, at a very early age; see, among others, Woodward 1998. Thanks to John Maier and Jonathan Phillips for discussion.

18. This last paraphrase depends on adopting Stalnaker’s (1968) theory of the conditional. For those who do not adopt it, this paraphrase (and similar ones below) should be taken with a grain of salt.

19. A different approach, equally suitable for our purposes, treats (18) as *probably false* (Hawthorne 2005).

- (12) Susie can now utter ‘The world is everything that is the case’.  
 (21) If Susie now tried to utter ‘The world is everything that is the case’, she would.

Unlike the orthodox account, the CA is thus able to distinguish examples like (19) from examples like (12). It does this by capturing the fact that ability requires something more than compatibility: an ability is a kind of hypothetical necessity, a guarantee (or something close to it) that you will get something done if you try.

Despite its promise, however, the CA has a number of serious problems, which we turn to now.

#### 4.1. *Compulsion Modals*

First, the CA makes the wrong predictions about compulsion modals. On the CA,  $\ulcorner$ S cannot but  $\varphi^\neg$  will be predicted to mean  $\ulcorner$ Not (If S tries to not  $\varphi$ , she does not  $\varphi$ ) $\neg$ . On Stalnaker’s theory of conditionals, which the CA is built on, this is equivalent to  $\ulcorner$ If S tries to not  $\varphi$ , she does  $\varphi^\neg$ . Thus:

- (22) **Compulsion Modals (Conditional Analysis):**

$$\llbracket \text{S cannot but } \varphi \rrbracket^{c,w} = 1 \text{ iff } \llbracket \varphi(S) \rrbracket^{c,f_\epsilon(S \text{ tries to not } \varphi, w)} = 1.$$

In words:  $\ulcorner$ S cannot but  $\varphi^\neg$  is true just in case the closest world where S tries to not  $\llbracket \varphi \rrbracket^c$  is one where S does  $\llbracket \varphi \rrbracket^c$ ; put differently, just in case if S tries not to  $\llbracket \varphi \rrbracket^c$ , S does  $\llbracket \varphi \rrbracket^c$ .

But this is too weak.  $\ulcorner$ S cannot but  $\varphi^\neg$  means not only that S does  $\llbracket \varphi \rrbracket^c$  if she tries not to, but that she does  $\llbracket \varphi \rrbracket^c$  *no matter what she tries to do*. If compulsion modals meant only what the CA says they do, then  $\ulcorner$ S cannot but  $\varphi^\neg$  and  $\ulcorner$ S cannot but *not*  $\varphi^\neg$  would be consistent. This strikes us as false. For example, ‘Ginger cannot but eat another cookie’ and ‘Ginger cannot but *not* eat another cookie’ strike us as plainly inconsistent.

To bring this out, consider the following case.<sup>20</sup> Suppose that, unbeknownst to him, the buttons of the elevator in John’s building have been incorrectly wired: if John presses the button marked ‘1’, it takes him to the basement, and if he presses the button marked ‘B’, it takes him to the ground floor (suppose these are the only two buttons). John is in the elevator and will press one of the two buttons. The CA predicts that (23) is true:

20. Thanks to Robert Stalnaker for suggesting a similar case to us.

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(23) John cannot but go to the first floor.

This is because, if John tries to not go to the first floor, then he will hit ‘B’ and end up on the first floor. For similar reasons, the CA predicts that (24) is also true:

(24) John cannot but go to the basement.

Both these predictions are wrong. (23) is false: if John presses button ‘1’, he will get to the basement. (24) is also false, for similar reasons. Most strikingly, (23) and (24) are clearly inconsistent.

*4.2. Ability Modals*

The second problem for the CA is that, in a broad range of cases, intuitions about ability ascriptions come apart from intuitions about the corresponding conditional.

Consider first cases in which  $\lceil S \text{ can } \varphi \rceil$  is intuitively false, but the corresponding conditional true. Suppose, for example, that John is planning to go to a movie.<sup>21</sup> Ann invites John to dinner, and he replies:

(25) I’m sorry, I’m not able to go; I’m going to a movie.

There is a prominent true reading of (25)—John can’t make it to dinner because he’s going to a movie. But (26) is clearly true:

(26) If John tried to have dinner with Ann, he would succeed.

The CA says that (25) is false if (26) is true, so it cannot predict the true reading of (25).

Importantly, there are a number of reasons to think that ‘able’ really is agentive in (25), rather than a deontic or bouletic modal. First, ‘able’ has a default agentive reading: out of the blue, speakers are inclined to hear ‘able’ as being about the agent’s abilities, rather than about circumstantial, epistemic, or deontic possibility. Second, we can easily set up the case so that John has no deontic commitment to go to the movie: simply suppose he is going by himself just because he wants to see the movie. Third, the retraction data associated with (25) are not what we would expect from a deontic or bouletic modal. When someone makes a deontic or bouletic claim, rejoining with a claim about ability feels like a non sequitur. But in this case, pointing out that John really does have an

21. This case is adapted from Thomason 2005.

ability to go to dinner feels like a natural and effective response to (25), as in (27):

- (27) Of course you're *able to* meet me—just skip the movie and come to dinner!

Examples like (25) are widespread; speakers often use  $\neg$ ‘I can’t  $\varphi$ ’ or  $\neg$ ‘I’m not able to  $\varphi$ ’ to communicate that they have a commitment that conflicts with doing  $\llbracket\varphi\rrbracket^c$ . A semantics for ‘can’ must capture this fact. The CA does not.

A similar case, where the relevant conditional seems true and the corresponding ability ascription false, comes from Lehrer (1968).<sup>22</sup> Suppose that Larry is offered a bowl of red candy. He has a pathological phobia of red candy; nothing could induce him to take such a candy. (28) seems false.

- (28) Larry is able to take a candy.

But, one might think, if he tried to take the candy, he’d succeed—the closest world where he tries to take the candy is one where he does not have the phobia in question. If that’s right, the CA wrongly predicts that (28) is true.

We are not completely convinced that (29) is actually true in this situation.

- (29) If Larry tried to take the candy, he would.

After all, Larry has a phobia! See Albritton 1985 for attempts to dismiss cases like this along these lines. But we are happy to grant the judgment that (29) is true. If this is right, it’s another problem for the CA that, as we’ll show, our account does not have; if not, examples like (25) already provide decisive reason to reject the CA.

The examples we have discussed so far are counterexamples to the CA as a *sufficient* condition for the truth of an ability ascription. There are also counterexamples to the CA as a *necessary* condition for the truth of ability ascriptions: cases in which  $\neg$ ‘S can  $\varphi$ ’ is true but  $\neg$ ‘S would  $\varphi$  if she tried’ is false. Consider the following case, adapted from Austin 1961. Jones is a skilled golfer with an easy shot onto the green. Matt says:

- (30) Jones is able to make this shot right now.

22. See also Chisholm 1964. A variant has a comatose agent Len. We want to say that ‘Len can take a walk’ is false; but if Len tried to take a walk, he would be conscious, and so he would in fact take a walk.

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Matt has said something true. Now suppose Jones takes the shot and misses the green. We may still judge Matt to have said something true; afterward, we can truly say (31):

- (31) Jones (was able to/had the ability to) make that shot at that time.<sup>23</sup>

Yet given how the selection function is defined, the closest world where Jones tries to make the shot is the actual world, since Jones in fact tried to make the shot, and any world is more similar to itself than to any other world. Since Jones actually misses, the CA wrongly predicts that (30) is false.

A way to press this point is to point out that sentences like (32) are often felicitous:

- (32) Jones is able to make this shot right now, though if he tries, he of course *might* miss.

The CA predicts that the two conjuncts in (32) are not jointly assertable, since  $\lceil \text{If } \varphi \text{ then } \psi \rceil$  and  $\lceil \text{If } \varphi, \text{ then might not } \varphi \rceil$  are not jointly assertable on any plausible theory of the conditional.

A final kind of case that poses problems for the CA is one in which an agent can do something, but only if she does not try to do it, as in (33):<sup>24</sup>

- (33) David can breathe normally for the next five minutes.

(33) is true if David is healthy. But if David *tried* to breathe normally, he'd concentrate on his breathing and end up breathing abnormally.

From a technical point of view, all of the cases in this section are easy to respond to: we can simply choose a selection function for ability ascriptions that selects worlds in a way that matches our intuitions.<sup>25</sup> The problem with this response is that it uncouples the CA from the analysis of conditionals, and thus from our intuitions about conditionals and

23. There is also a false reading of (31), brought out when 'was able to' has perfective aspect (see Bhatt 1999 and others), but all that matters for our purposes is that there is a true reading, which is clearly brought out in languages that mark aspect morphologically, when 'was able to' has imperfective aspect. Thanks to Nilanjan Das and Raphaël Turcotte for data in Hindi, Bengali, and French.

24. See Vranas 2010 for discussion. Again, not everyone accepts these judgments. We find this case compelling, but our rejection of the CA does not depend on these judgments.

25. Thomason (2005) suggests a response along these lines.

similarity in general.<sup>26</sup> Without an intuitive characterization of the altered selection function, the resulting theory is neither predictive nor explanatory.

### 5. The Act Conditional Analysis

Many have thought that cases like those discussed in the last subsection refute the CA.<sup>27</sup> We agree. But we think that the CA is on the right track. It rightly captures the *hypothetical* nature of abilities: whether you are able to perform a particular action depends in some way on what happens under relevant alternate circumstances. We give an account of agentive modals that preserves this insight, yet avoids the CA's problems.

Our account incorporates into the meaning of 'can' a layer of quantification over a contextually supplied set of actions. Let  $\mathcal{A}_{S,c,w}$  be a set of actions that are—in a sense to be precisified—*practically available* to an agent S in a context  $c$  and world  $w$ . Where, again,  $f_c$  is Stalnaker's selection function and  $\varphi$  denotes a specific action:

(34) **Act Conditional Analysis:**

$$\llbracket S \text{ can } \varphi \rrbracket^{c,w} = 1 \text{ iff } \exists A \in \mathcal{A}_{S,c,w} : \llbracket \varphi(S) \rrbracket^{c,f_c(S \text{ tries to } A,w)} = 1.$$

According to the *act conditional analysis* (henceforth 'ACA'),  $\lceil S \text{ can } \varphi \rceil$  is true just in case there is some practically available action  $A$  such that the closest world where S tries to do  $A$  is a world where S does  $\llbracket \varphi \rrbracket^c$ ; in other words, just in case there is some practically available action such that if S tries to do it, she does  $\llbracket \varphi \rrbracket^c$ .<sup>28</sup> Note that this action does not need to be  $\llbracket \varphi \rrbracket^c$ : this is the key difference between our account and the CA.<sup>29</sup>

26. For instance, to make sense of cases like (30), we would have to say that the actual world is not the most similar world to itself (even if we moved to a Lewisian analysis with multiple most similar worlds, we would have to say that the actual world is not among the most similar worlds to itself).

27. See, among others, Austin (1961), Lehrer (1968), van Inwagen (1983).

28. Chisholm (1964) makes a similar suggestion. As far as we know, his suggestion hasn't been taken up in the subsequent literature, perhaps because he himself sketches a fairly serious objection to it; the ACA, however, avoids that objection by restricting the set of actions quantified over. See also Albritton 1985, Setiya 2007, and Maier 2015b for discussions with some connection to the present proposal.

29. We leave open whether we need to encode a nonaccidental connection between S and  $\llbracket \varphi \rrbracket^c$  in order for  $\lceil S \text{ can } \varphi \rceil$  to come out true. We are inclined to think that the connection is implicated rather than encoded.

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At a first pass, we may assume that in many cases, in an ability ascription with the form  $\ulcorner S \text{ can } \varphi \urcorner$ ,  $\llbracket \varphi \rrbracket^c \in \mathcal{A}_{S,c,w}$ . In those cases the predictions of the ACA come very close to those of the CA. For example, if we make this assumption in evaluating (35), then the action *going for a swim in the pool tonight* is included in  $\mathcal{A}_{Louis,c,w}$ .

(35) Louis can go for a swim in the pool tonight.

In this case, (35) is true if Louis will go swimming tonight should he try to do so.

The similarity of the ACA to the CA allows it to inherit the main virtue of the CA sketched above: it is able to distinguish between cases like (19) and (12), repeated here:

(19) Susie can hit the bull's-eye now.

(12) Susie can now utter 'The world is everything that is the case'.

Assuming for simplicity that  $\mathcal{A}_{Susie,c,w}$  includes just the actions *throw a dart toward the bull's-eye* and *utter 'The world is everything that is the case'* and their complements, we predict that (12) is clearly true, since if Susie tries to utter 'The world is everything that is the case', she succeeds. On the other hand, we predict that (19) is not clearly, determinately true, since none of these actions is determinately such that if Susie tries to do it, she hits the bull's-eye.

As we will now show, in addition to capturing these attractive predictions of the CA (and thus avoiding our objections to the orthodox account), the ACA avoids the problems for the CA raised above.

*5.1. Compulsion Modals*

First, our approach makes plausible predictions about the meaning of compulsion modals:

(36) **Compulsion Modals (Act Conditional Analysis):**

$$\llbracket S \text{ cannot but } \varphi \rrbracket^{c,w} = 1 \text{ iff } \forall A \in \mathcal{A}_{S,c,w} : \llbracket \varphi(S) \rrbracket^{c,f_c(S \text{ tries to } A,w)} = 1.$$

Informally: for every action  $A$  practically available to  $S$  in  $c$ ,  $S$  does  $\llbracket \varphi \rrbracket^c$  in the closest world in which  $S$  tries to do  $A$ . In other words, no matter what  $S$  tries to do (among the actions treated as practically available in  $c$ ),  $S$  ends up doing  $\llbracket \varphi \rrbracket^c$ . This looks like a plausible prediction—much more plausible than the CA's prediction that  $\ulcorner S \text{ cannot but } \varphi \urcorner$  is true just if  $S$  does  $\llbracket \varphi \rrbracket^c$  *provided  $S$  tries not to*. Consider (13) again:

- (13) Ginger cannot but eat another cookie right now.

Intuitively, (13) says that Ginger is *compelled* to eat another cookie: *no matter what she tries to do*, she'll eat another one. This is precisely what we predict. Moreover, the ACA rightly predicts that  $\ulcorner S$  cannot but  $\varphi \urcorner$  and  $\ulcorner S$  cannot but *not*  $\varphi \urcorner$  are inconsistent:<sup>30</sup> if  $S$  does  $\llbracket \varphi \rrbracket^c$  *no matter what she tries*, then it's not the case that  $S$  does  $\llbracket \neg\varphi \rrbracket^c$  *no matter what she tries*. Thus, for instance, (23) and (24), repeated here, will be inconsistent:

- (23) John cannot but go to the first floor.  
 (24) John cannot but go to the basement.

Note that the plausibility of our predictions here stems in part from our choice to use the selection function from Stalnaker (1968), which selects a single world; had we followed Lewis (1973) in adopting a selection function that selects a *set* of worlds instead of a single world, we would make the implausibly weak prediction that  $\ulcorner S$  cannot but  $\varphi \urcorner$  is true just in case, for every practically available action, if  $S$  tries to do that action, then she *might* do  $\llbracket \varphi \rrbracket^c$ .

## 5.2. Ability Modals

In addition to making plausible predictions about compulsion modals, the ACA also makes plausible predictions about ability modals, avoiding the counterexamples to the CA discussed in section 4.2. Consider first cases in which  $\ulcorner S$  can  $\varphi \urcorner$  is intuitively false, even though it is true that  $S$  would  $\llbracket \varphi \rrbracket^c$  if  $S$  tried. Recall John, who says (25):

- (25) I'm sorry, I'm not able to go [to dinner]; I'm going to a movie.

Unlike the CA, the ACA can predict that (25) has a prominent true reading. To be sure, if John *tried* to go to dinner, he'd succeed. But, on our proposal, this does not guarantee that 'John can go to dinner' is true: the action *meeting Ann for dinner* (or something much like it) must also be treated as practically available to John. If this condition is not met, then there is no action in  $\mathcal{A}_{John,c,w}$  such that trying to do it guarantees that John meets Ann for dinner. In that case, we predict that 'John can go to dinner' is false, and that (25) is true.

We conjecture that *meeting Ann for dinner* is not treated as practically available in this context because John has decided against this action. One way to test the plausibility of this hypothesis is to see whether

30. Provided that  $\mathcal{A}_{S,c,w}$  is nonempty, a condition we assume is met in most cases.



## Agentive Modals

insisting on the availability of the action *meeting Ann for dinner* can modulate intuitions, since in general, speakers tend to defer to an insistence on a larger domain of quantification. Suppose Ann replies:

- (27) Of course you're *able to* meet me—just skip the movie and come to dinner!

It seems that Ann has said something true; after hearing (27), we are inclined to judge that it is true that John can meet Ann for dinner. By uttering (27), Ann ensures that  $\mathcal{A}_{John,c,w}$  includes *meeting Ann for dinner*, and so (27) comes out true.

In a moment we will discuss, in more disciplined and general terms, what practical availability amounts to. For the present, though, we will show how flexibility in how this parameter is determined lets us respond in a similar way to the other cases discussed in section 4.2.

Recall the case in which Larry is offered a bowl of candy, but his phobia prevents him from taking one. If Larry is so phobic that we cannot even entertain the possibility of his trying to take the candy, then we may well not treat *taking the candy* as practically available for Larry. In that case, we will predict that 'Larry can take the candy' is false, even if 'If Larry tried to take the candy, he would' is true.

We can make similar moves in response to cases where  $\lceil S \text{ can } \varphi \rceil$  is true, even though it is false that S would  $\varphi$  if S tried. Recall the golf case. We said that (30) has a true reading.

- (30) Jones is able make this shot right now.

Now suppose Jones aimed to the left of a certain tree; because of a freak gust of wind, the ball was blown off course. Had he tried to aim to the right, he would have made the shot. Let *aiming to the right* be in  $\mathcal{A}_{Jones,c,w}$ . Then we predict that (36) is true even though Jones actually misses. This nicely captures intuitions: (37) is a natural way to describe the case.

- (37) Well, he *was* able to make the shot; all he had to do was aim to the right.

We often ascribe abilities to agents even when they are not certain to succeed at a given action should they try, and even in cases where they fail when they in fact try. The ACA nicely accounts for these *uncertain abilities*; we discuss cases like this further in section 6.2.

Finally, appeal to  $\mathcal{A}_{S,c,w}$  lets the ACA explain why (33) and its ilk strike us as true.

- (33) David can breathe normally for the next five minutes.

There is *something* relevant (we may suppose) such that if David tries to do *that*, he breathes normally (say, *working on a paper*), and thus the ACA predicts that (33) is true.

### 5.3. Practical Availability

We have shown that, by incorporating quantification over a set of actions, the ACA can avoid our counterexamples to the CA, without uncoupling the analysis of agentive modals from intuitions about conditionals. But unless we say more about how this set of actions is determined—that is, about what practical availability amounts to—we face a charge of ad hoc maneuvering. In this section, we give a general characterization of practical availability that regiments the intuitions elicited in the last section. We do not aim to provide a universal characterization of practical availability; we believe that it is a genuinely context-dependent notion, and as such may be determined in a variety of different ways in different contexts. But we hope to say enough to give a sense of speakers' default way of thinking about practical availability, and thus to make the predictions of our account more concrete.

Consider the following case, suggested by Timothy Williamson, which will help bring out the constraints that we need to place on practical availability. Imagine a grid with one hundred buttons, labeled 1–100. For exactly one of these buttons, if Lizzie pushes it, she wins a prize, but she doesn't know which one it is. She gets one shot at winning the prize. It seems clear that (38) has a prominent agentive reading on which it's not (determinately) true; after all, Lizzie doesn't know which button to push in order to win the prize.

(38) Lizzie is able to win the prize.

This judgment is important to get clearly in mind; one way to bring it out is to imagine Lizzie in a high-stakes situation, say in a rapidly descending plane. One of one hundred buttons on the console turns on autopilot; the others ignite the fuel. The panicked crew members ask:

(39) Can anyone here turn on autopilot?

Suppose Lizzie replied with (40):

(40) I can!

The crew members would rightly hold her to account if they learned that she doesn't know which button enables autopilot.

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On their most prominent readings, then, neither (40) nor (38) is determinately true. (We do not hold that they are determinately false either; they may be indeterminate. Further, as we discuss in a moment, there are also true readings of these that can be brought out in certain ways.)

Which actions should count as practically available in order for us to predict these judgments? Consider again (38). We want to say that  $\mathcal{A}_{Lizzie,c,w}$  contains the actions *winning the prize* and *not winning the prize*. If just those actions are practically available, we predict that (38) is not determinately true, since there will be no practically available action that is determinately such that if Lizzie tried to do it, she would win the prize. But  $\mathcal{A}_{Lizzie,c,w}$  should *not* include the more specific actions *pushing button one*, *pushing button two*, and so on. If  $\mathcal{A}_{Lizzie,c,w}$  did contain these more specific actions, then we'd predict that (38) is true. Suppose the seventh button is the winning one; it's clearly true of Lizzie that if she *tried* to push the seventh button, she would succeed, and so there would be a practically available action such that if she tries to do it, she wins.

But how do we predict that this is how  $\mathcal{A}_{Lizzie,c,w}$  is set? This question looks particularly pressing when we compare our judgments about (38) to our judgments about (41).

(41) Lizzie can press the  $k$ th button.

It seems clear that every instance of (41) is true, for  $1 \leq k \leq 100$ . In order for these to be true, however, something like *pressing the  $k$ th button* must be treated as practically available, for every  $k$ . But then we will predict that (38) is true, since there will be some practically available action such that if Lizzie tries to do that action, she wins.

We can make the right predictions about both (38) and (41) by treating  $\mathcal{A}_{S,c,w}$  as sensitive to the prejacent of the modal (which is, after all, part of the contextually available information). In particular, we suggest that an action  $\psi$  will typically count as practically available for S in a context  $c$  just in case S could reasonably conclude in favor of doing  $\psi$  with respect to the goal of doing the prejacent or its complement.<sup>31</sup> This is not meant as a strict rule; actions might count as practically available even when they are not reasonable in this sense (for instance, after the fact, the action that an agent actually does will almost invariably count as practically available, whether or not it was reasonable). But we believe

31. We include the complement as a goal in order to make correct predictions about negated ability modals.

reasonableness provides a helpful general heuristic for fleshing out the set of practically available actions.

Reasonableness will involve many things. Among them will be a certain kind of epistemic standing. We propose that an action *A* is reasonable in the relevant sense only if the agent knows that *A* is a way to bring about the prejacent—or knows that it is a way to bring about its complement—*relative to a certain description of her practical situation* (more on this below).<sup>32</sup> In other words, the agent must know that, given that description, if she does *A*, then she does the prejacent; or if she does *A*, then she does the prejacent's complement.

Applying this idea to our case: Relative to the goals of *winning the prize* and *not winning the prize*—the prejacent of (38), and its complement, respectively—and assuming that we take Lizzie's knowledge of her practical situation to provide the relevant description of her practical situation, the set of practically available actions will just be *winning the prize*, plus any actions that Lizzie knows are ways of not winning the prize. Importantly, an action like *pressing the seventh button* will not be treated as practically available, since Lizzie does not know that this is a way to win the prize (or to not win the prize). By contrast, she of course *does* know that *winning the prize* is a way of winning the prize, so, assuming that this action is otherwise a reasonable thing for Lizzie to conclude in favor of, it will be treated as practically available. It follows that (38) will not be determinately true, since no practically available action is determinately such that if Lizzie tries to do it, she wins the prize. By contrast, relative to the action *pushing the *k*th button* (the goal made salient by the prejacent of (41)), *pushing the *k*th button* will itself generally be treated as practically available, since Lizzie will generally know this is a way of pushing the *k*th button. So we predict that (41) will generally be true in a context in which it is asserted.

Our account thus lets us predict a true reading of (41) and an indeterminate reading of (38). So far so good. But in addition to an indeterminate reading, (38) also seems to have a true reading. This is easiest to bring out if we consider a bystander commenting on the situation; the bystander could say (42):

(42) Lizzie can win the prize; she just has to push the seventh button.

32. Perhaps (true) belief would suffice; we do not have strong commitments on this point.

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How can we predict this? We have said that an action counts as practically available only if the agent knows that it is a way of bringing about the prejacent *relative to a given description of her practical situation*. There are different ways of supplying the relevant description. On the *subjective* way of thinking about it we have assumed just now, the description of Lizzie's practical situation is limited to what she *knows* about her actual situation. We think this reading is the default reading; it can be brought out by focusing on what makes sense given an agent's limited information, as in (43):

- (43) Lizzie can't put the plane on autopilot—she has no idea which button to press!

But on a more *objective* way of thinking about it, the description of Lizzie's practical situation is just a complete description of all the facts relevant to her *actual* situation. An objective reading is elicited by making salient the actual facts at hand, as in (42).

The epistemic constraint on practical availability can thus be read either objectively or subjectively. Somewhat surprisingly, then, as for deontic modals, we can distinguish objective from subjective readings for agentive modals.<sup>33</sup>

The present characterization of practical availability helps answer a possible worry about our view. Suppose again that the seventh button is the winning button. On our view, 'can' is upward monotone: if  $\varphi$  entails  $\psi$ , then  $\lceil S \text{ can } \varphi \rceil$  entails  $\lceil S \text{ can } \psi \rceil$ .<sup>34</sup> Since *pushing the seventh button* entails *winning the prize* in this context, we predict that (44) entails (38) (repeated here). Thus the falsity of (38) should entail that (44) is false, too.

- (44) Lizzie is able to push the seventh button on the grid.  
 (38) Lizzie is able to win the prize.

But (44) sounds clearly true in nearly any context. This is a *prima facie* puzzle for any upward monotone account, like ours, and, indeed, as an

33. Our structural approach to the distinction parallels Cariani, Kaufmann, and Kaufmann's (2013) approach to deontic modals. We assume that this distinction admits of gradations tracking different ways of specifying a practical situation.

34. This generally matches intuitions, *modulo* "free choice effects" (Kamp 1973), which must be accounted for; more thorough discussion of monotonicity must await future work.

editor for this journal points out, for any account that validates the substitution of equivalent prejacent under agentive modals—since in this case, *pushing the seventh button* is equivalent to *winning the prize*.<sup>35</sup>

Our characterization of practical availability can resolve this puzzle, however. Though we do predict that (44) is sometimes false (whenever (38) is), it does not follow that we can ever *hear* a false reading of (44). On our account, false instances of (44) are *elusive* in the sense of Lewis 1996: any context in which (38) is not true is one in which (44) is not true, but the moment we *entertain* (44), the context changes in a way that makes (44) come out true, since by changing the prejacent, we change the set of practically available actions.

A point in favor of this approach is that it looks like we *need* upward monotonicity—or at least the substitutability of contextual equivalents—to explain certain reasoning patterns. Suppose Matt says that Lizzie is unable to win the prize. Out of the blue, we're inclined to agree with him. But now suppose that Sally responds as follows:

- (45) Lizzie can push the first button, the second, and so on. But one of these is the winning button. So she *can* push the winning button, and thus she's able to win!

It would be reasonable for Matt to retract his claim after hearing Sally's case. Our account captures this fact, since we predict that Sally's inference in (45) is valid, while also making sense of the difference in intuitions about the truth of (38) versus (44).

We conclude this section by showing that our characterization of practical availability regiments and explains the assumptions that we made in showing how the ACA avoids the counterexamples to the CA. Recall John, who has decided to go to a movie. Is there an action he could reasonably conclude in favor of doing, relative to the pair of goals *going to dinner with Ann*, *not going to dinner with Ann*, such that if he tries to do it, he goes to dinner with Ann? It's natural to think that there is not: since he has decided to go to a movie, it would not be reasonable for him to

35. To be precise, what we need to get the problem going is intersubstitutability of *contextual* equivalents, or upward *contextual* entailment. Both differ from upward *logical* entailment, which is all that really follows from our view. But, under the standard assumption that the relevant selection function always selects a world in the context set when there is one that verifies its propositional argument (Stalnaker 1968), then both those principles will indeed follow from our view whenever, for every practically available action, it is compatible with the context that the agent in question tries to do that action—a condition that seems to be met in the present case, and indeed in many cases.

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conclude in favor of doing something else. People should stick with their decisions. On the other hand, it can also seem, upon further reflection, that there *is* a reasonable way for him to go to dinner with Ann. After all, John could simply cancel his movie plans; people should be willing to revisit decisions. Depending how we come down on this, we will treat *going to dinner with Ann* as practically available (in the latter case) or not (in the former), and thus predict both true and false readings of (46).

(46) John can go to dinner with Ann.

We can say similar things about phobic Larry. Taking candy would not be a reasonable course of action for phobic Larry to deliberately conclude in favor of, for obvious reasons, and thus will not be treated as practically available.

One might worry about circularity in this account of practical availability. Among other things, facts about S's *abilities* might influence what counts as a reasonable course of deliberation; indeed, this seems to be the case here. But, like most projects of semantic analysis, our aim is elucidation, not reductive analysis. This discussion also brings out an important fact about our characterization of practical availability worth emphasizing here: The epistemic constraint that we discussed above is meant to be a *necessary* condition on practical availability, not a sufficient one. In addition to the epistemic constraint, more general considerations about reasonableness will also influence whether an action is treated as practically available.

Let's now turn to Jones the golfer. Suppose that Jones did not know that aiming just to the right of the tree would result in a successful shot, because he did not know that a gust of wind would arise at just the moment he made the swing. Jones did know, however, that, given such a gust of wind, aiming just to the right of the tree would result in a successful shot. So, relative to an *objective* description of his practical situation, *aiming just to the right of the tree* is practically available. Assuming that he would have made the shot had he tried to aim just to the right of the tree, we thus predict a true, objective reading of (30).

(30) Jones is able to make this shot right now.

This objective reading can, again, be brought out by emphasizing the facts of Jones's situation—facts that he might not be aware of—as in (37), repeated here:

- (37) Well, he *was* able to make the shot; all he had to do was aim to the right.

By contrast, for a less skilled golfer, Milo, the analogue of (30) will not be true, even on an objective reading. For even if Milo knew all of the relevant facts about his practical situation, it is likely that if Milo tried to aim to the right, he would *still* not make the shot. Thus the truth of (30) depends in an important way on the fact that Jones is a skilled golfer.

One might wonder whether the distinction between a subjective and objective reading of agentive modals that we have spelled out in this section, and that we are relying on in our analyses of these cases, is really necessary. An alternate hypothesis is that what we have been calling agentive modals on an objective reading are really just circumstantial modals.<sup>36</sup> We think, however, that there is an important distinction to be made here: while the objective agentive reading may well entail the circumstantial reading in most cases (since it makes salient a world where the prejacent happens), the converse is not true.

To see this point, take a variant on the Susie case. Susie is now playing against Smith, an expert dart player who is extremely reliable in making bull's-eyes at this distance. What they don't know is that they are playing with darts that are slightly heavier than usual; to make a bull's-eye, they would have to compensate for this.<sup>37</sup> Jo, who is watching the game, says:

- (47) Smith is able to hit a bull's-eye now—he just has to compensate for the weight.

This sounds perfectly true here. This is what we have been calling an objective reading of 'able'; the subjective reading is false, since Smith doesn't know that he needs to compensate for the weight of the dart. By contrast, (48) does not sound (determinately) true; the situation here is identical to the original case involving Susie.

- (48) Susie is able to get a bull's-eye now—she just has to compensate for the weight.

But paraphrases eliciting the circumstantial reading pattern differently:

36. Thanks to an anonymous reviewer for this journal for pushing us on this question.

37. Smith's predicament is similar to that of Jones the golfer.



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- (49) It can happen that Susie hits the bull's-eye.  
 (50) It can happen that Smith hits the bull's-eye.

Both (49) and (50) sound true. Thus it is circumstantially possible both for Smith and for Susie to hit a bull's-eye. But if the prominent true reading of (47) really is a circumstantial reading, as the present objection goes, then we should expect a prominent true reading for (48). This is not borne out. Nor can a subjective agentive reading predict this contrast between (47) and (48), since, again, (47) is false on a subjective agentive reading. By contrast, the objective reading of agentive modals that we have posited predicts precisely the contrast we observe here. Since Smith is highly skilled, there is some action that (determinately) *would* have resulted in him making the shot, if he had tried it—he simply does not in this case know what action that is. Not so for Susie: since she is a small child, *no* action is (determinately) such that, had she tried it, it would have resulted in her hitting the bull's-eye. Our account is thus right to distinguish circumstantial readings from objective agentive readings.

#### 5.4. *Interim Summary*

This completes our exposition of the ACA. The ACA builds on the orthodox existential account (insofar as it incorporates existential quantification) and on the CA (insofar as it incorporates a conditional element), but avoids the problems that we raised for both accounts. Putting the pieces together: our account says that  $\ulcorner S \text{ can } \varphi \urcorner$  is true just in case there is some practically available action  $A$  such that if  $S$  tries to do  $A$ ,  $S$  does  $\llbracket \varphi \rrbracket^c$ . Practical availability is, in turn, a context-dependent notion. We have proposed the following rough heuristic for thinking about it: an action  $A$  is practically available to an agent  $S$  with respect to a salient goal  $\psi$  just in case  $S$  could reasonably conclude in favor of doing  $A$  in order to do  $\psi$ . When it comes to ability ascriptions, we assume that the salient goals are typically the prejacent of the ascription and its complement, and we assume, *inter alia*, that  $S$  can reasonably conclude in favor of doing  $A$  in order to do  $\psi$  only if she knows that—given a certain description of the world—if she does  $A$ , she does  $\psi$ . Our characterization of practical availability is somewhat vague.<sup>38</sup> But so are ability ascriptions, and the vagueness of the two seems to go hand in hand.

38. This characterization—including its inherent vagueness—may help explain the *impurity* of ability ascriptions, in the sense of Knobe and Szabó 2013; considerations about deontic matters, for instance, influence ability ascriptions insofar as they influence what

Our account answers to an important intuition about what ability ascriptions are used to do. When someone says ‘I can  $\varphi$ ’, she is assuring her interlocutors that  $\llbracket\varphi\rrbracket^c$  is within her control in a certain way. This is why we do not judge that Susie can hit the bull’s-eye, or that Lizzie can turn on autopilot. This is precisely what the ACA predicts: ‘S can  $\varphi$ ’ says that there is some relevant action—some action that S could reasonably deliberate about and conclude in favor of doing—such that if S tries to do it, S succeeds in doing  $\llbracket\varphi\rrbracket^c$ .

Ability ascriptions, on our account, serve as a kind of hypothetical guarantee. This is a strong prediction. Two things are important to keep in mind here, however. First, we distinguish between an objective and subjective reading of agentive modals. On an objective reading, if ‘S can  $\varphi$ ’ is true, then for some practically available action  $A$ , if S tries to do  $A$ , she does  $\llbracket\varphi\rrbracket^c$ ; but it may nonetheless be the case that she does not know which action  $A$  has this status, and so the ability ascription will not have the *practical effect* of any kind of guarantee. Second, as we discuss in a moment, generic ability ascriptions do not guarantee that  $\llbracket\varphi\rrbracket^c$  is within S’s control, but only something weaker: that  $\llbracket\varphi\rrbracket^c$  is in her control if *conditions are normal*. With these caveats in mind, we believe that the characterization of an ability ascription as a kind of hypothetical guarantee does indeed match intuitions, and casts a new light on the role of ability ascriptions in practical dialogue.

Our account also answers to an important intuition about what compulsion ascriptions are used to do: when someone says ‘I cannot but  $\varphi$ ’, she is saying that she will do  $\llbracket\varphi\rrbracket^c$  *no matter what* she tries to do. In other words, compulsion ascriptions serve as a kind of nonhypothetical guarantee. We believe that this matches intuitions about the meaning of claims like this and their role in practical dialogue.

One upshot of our account is that we predict that ability ascriptions of the form ‘S can try to  $\varphi$ ’ are quite weak. An ability ascription of this form simply says that there is something practically available such that if S tries to do it, she tries to do  $\llbracket\varphi\rrbracket^c$ . Thus, for instance, as long as  $\llbracket\varphi\rrbracket^c$  is practically available, ‘S can try to  $\varphi$ ’ will be predicted to be true. This prediction seems right to us.

Does our account make trying too easy? It predicts that ‘S can try to  $\varphi$ ’ is consistent with ‘S cannot  $\varphi$ ’, since the latter says that for any

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we count as reasonable and thus practically available. See Mandelkern and Phillips 2017 for further discussion of this idea.

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practically available action, if S tries it, she does not do  $\llbracket\varphi\rrbracket^c$ ; and the former says that for some practically available action, if S tries to do it, she *tries* to do  $\llbracket\varphi\rrbracket^c$ .<sup>39</sup> This may look wrong; note that (51) is marked:

(51) I can't fix the fridge, but I can try to.

The infelicity of (51) is not immediately predicted on our account. But we think that our prediction is correct: being unable to do something is consistent with being able to try to do it. To see this, note that the third-person variant on (51) in (52) is perfectly coherent:

(52) John can't fix the fridge; he can only *try* to.

(53) provides a similar case, using 'able' to select for the agentive reading of the modals, and providing setup that may make this kind of conjunction more natural:

(53) Anyone's able to *try* to quit smoking. But few are actually able to do it, and among those, even fewer will.

(Milo Phillips-Brown, pers. comm.)

The coherence of (52) and (53) suggests that  $\ulcorner$ S cannot  $\varphi^{\neg}$  is indeed consistent with  $\ulcorner$ S can try to  $\varphi^{\neg}$ . We suspect, then, that the explanation of the infelicity of (51) is pragmatic. The first conjunct of (51) licenses the inference that the speaker believes that, for any practically available action  $A$ , if he tries to do  $A$ , then he will fail to fix the fridge. There is something in bad faith about trying to do  $\psi$  if you believe that you can't do  $\psi$ . Why would you be offering to try if you knew you would fail?<sup>40</sup> (All this is compatible, of course, with S trying to do  $\psi$  in good faith even though S cannot do  $\psi$ , as long as S does not *believe* this about herself.) And indeed, note that (51) is improved when this pragmatic constraint is waived—say, if the speaker is being pressured to try to fix the fridge. Since no pragmatic story of this kind will extend to third-personal variants of (51), the explanation that we've offered makes sense of the contrast between (51) and (52) within our framework: both are semantically consistent, as our account predicts, but (51) is (usually) infelicitous for pragmatic reasons.

We note, finally, that tying practical availability to an agent's epistemic state commits us to a certain position on the relation between

39. We are grateful to an anonymous reviewer for this journal for raising this issue, and for suggesting an example like (51), as well as the possibility of a pragmatic response.

40. There is thus an analogy between sentences like (51) and Moore sentences.

know-how and ability. We will not offer an analysis of know-how here, but presumably knowing how to do *A* is generally closely connected to knowing of some *B* that doing *B* guarantees doing *A*.<sup>41</sup> On our account, knowing of some *B* that it has this status does not guarantee that one is able to do *A*; one must also be such that, for some such *B*, if one tries to do *B*, one does *A*. But being able to do *A* *does* guarantee, inter alia, that there is some action *B* that one knows has this status (relative to some description of one's practical situation)—and, moreover, that if one tried to do *B*, one would do *A*. This strikes us as a plausible hypothesis about the relationship between ability ascriptions and know-how. Know-how does not guarantee ability, since ability is not a *purely* cognitive matter. But it is a *partly* cognitive matter: ability does entail know-how.

## 6. Genericity

The ACA is an account of specific agentive modal ascriptions. In this section, we discuss how to generalize the ACA to generic agentive modal ascriptions like (2): agentive modal ascriptions whose prejacent is an action not indexed to a specific time (either explicitly or implicitly).

- (2) Mary can touch her nose with her tongue.

We then discuss a variety of related issues involving genericity and agentive modals.

### 6.1. *Generic Agentive Modal Ascriptions*

We propose that generic agentive modal ascriptions are simply specific agentive modal ascriptions underneath a generic operator.<sup>42</sup> A specific

41. Not everyone agrees that this is always true; see, among others, Brownstein and Michaelson 2016. See Stanley 2011 and citations therein for more on this topic.

42. See Bhatt 1999, Hacquard 2010, Maier 2015a for similar ideas, implemented in importantly different ways. For instance, Hacquard gives agentive modals a standard existential quantificational analysis and then proposes that the fact that their meaning is felt to be stronger than predicted on that analysis stems from an implicit generic operator licensed by imperfective aspect. This approach faces a worry from the fact that ability modals seem to have a stronger meaning than the existential account would predict *even when they are in contexts that seem not to have a generic operator*. For instance, 'Yesterday at 3 p.m., Susie was able to hit a bull's-eye' seems to us not to be clearly true, as spoken about Susie the child dart-player, and thus to have a meaning stronger than that predicted by a bare existential analysis. But this sentence does not seem to have an implicit generic operator: generic operators do not happily coexist with temporal phrases like 'yesterday at 3 p.m.'. To see this, note that adding 'generally', which is usually licensed when a covert

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agentive modal ascription has as its prejacent a specific, time-indexed action, like *hitting a bull's-eye in Somerville at 5 p.m. this Friday*, and says of an agent that there is some practically available action such that if she tries to do it, then she does the prejacent. A generic agentive modal ascription has as its prejacent a general action—one that is not indexed to a particular time—like *hitting the bull's-eye*, and says of an agent that, in a suitable proportion of normal circumstances, there is an action practically available in those circumstances such that, if she tries to do it, then she does the prejacent.

In the rest of this section, we spell out this idea in more detail, though without going too far into the vexed question of exactly what a generic operator is;<sup>43</sup> we adopt the following implementation primarily for concreteness. We assume that genericity is due to an operator GEN, usually tacit, with roughly the meaning of ‘generally’. GEN binds a time variable  $t$  in a tensed sentence  $\psi_t$  to yield another sentence that says, essentially, that  $\psi$  is true in enough normal situations, relative to the standards of normalcy determined by the context and world.<sup>44</sup> More formally, with  $t$  a free time variable in  $\psi_t$ ,  $t_s$  the time of a situation  $s$ , and ‘GEN’ subscripted with the variable it binds:

$$(54) \quad \llbracket \text{GEN}_t(\psi_t) \rrbracket^{c,w} = 1 \text{ iff in a suitable}_c \text{ proportion of normal}_{c,w} \text{ situations } s, \llbracket \psi_{t_s} \rrbracket^{c,s} = 1.$$

Roughly, GEN takes an untensed sentence and returns a sentence that says that the original sentence is true in enough normal cases, according to the standards of normalcy established by the world of evaluation and context.

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generic operator is in play, renders this sentence infelicitous; note also that if we replace ‘Susie’ with an existentially quantified phrase, like ‘a child’, no generic reading of the existential is available. To handle cases like this, it seems to us that a view along these lines would have to advert to some other, further mechanism of strengthening besides an implicit generic operator. Thanks to Valentine Hacquard for valuable discussion.

43. See Krifka et al. 1995, chap. 1, for an overview, especially section 1.2.6 on the approach we follow. See Sterken 2015 and Nickel 2016 and citations therein for more recent discussion.

44. It doesn’t matter for our purposes what a situation is; we could think of it as a world, or part of a world, centered on at least a time. We assume that sentences can be evaluated for truth not only at a world, but also at situations, and likewise that the conditional selection function  $f_c$  and the function  $\mathcal{A}_{s,c}$  to practically available actions are defined on situations as well as on worlds.

Two things need to be made explicit in our account of agentive modals before we can show how it interacts with GEN. First, we have left time indices out of our presentation so far for simplicity; we now need to be explicit about them. Ability ascriptions can contain two distinct time indices, one attached to the modal (which says when the agent has the ability in question) and one attached to the action (which says when the action takes place). We will ignore the first of these, assuming for simplicity that it does not interact with the GEN operator, and denote the second with a subscript  $t$ , so that an ability ascription now has the form of (55), where  $\varphi_t$  denotes a specific action that takes place at  $t$  (we change the subject variable to  $J$  to avoid confusion with situation variables).

$$(55) \quad J \text{ can } \varphi_t.$$

Second, we must now represent  $\mathcal{A}_{S,c}$  as part of the logical form of an ability ascription—that is, as part of the structure that underlies semantic composition—so that GEN can bind into it, as follows:<sup>45</sup>

$$(56) \quad \llbracket J \text{ can } (\mathcal{A}_{J,c})(\varphi_t) \rrbracket^{c,w} = 1 \text{ iff } \exists A \in \mathcal{A}_{J,c,w} : \llbracket \varphi_t(S) \rrbracket^{c,f_c(J \text{ tries to } A,w)} = 1.$$

GEN binds the time index on the prejacent. Thus on our account generic ability ascriptions have the form and truth conditions in (57):

$$(57) \quad \llbracket \text{GEN}_t (J \text{ can } (\mathcal{A}_{J,c})(\varphi_t)) \rrbracket^{c,w} = 1 \text{ iff at a suitable}_c \text{ proportion of normal}_{c,w} \text{ situations } s : \exists A \in \mathcal{A}_{J,c,s} : \llbracket \varphi_t(J) \rrbracket^{c,f_c(J \text{ tries to } A,s)} = 1.$$

Informally, a generic ability ascription with the surface form  $\ulcorner J \text{ can } \varphi \urcorner$  says of  $J$  that, in enough normal situations  $s$ , there is a practically available action at the time of  $s$  that is such that if  $J$  tries to do that action,  $J$  brings it about that  $\llbracket \varphi \rrbracket^c$  holds of her at that time. In short: in normal situations,  $J$  can do  $\llbracket \varphi \rrbracket^c$ .

To make these truth-conditions plausible, we must assume that what counts as a contextually normal situation depends on the prejacent. For example, consider (58):

$$(58) \quad \text{George can make great ratatouille.}$$

When evaluating (58), the set of normal situations will include only those where George has all the ingredients, tools, and so forth, needed to make ratatouille. This kind of sensitivity is needed for generics in

45. In a fully explicit semantics, we would treat  $\mathcal{A}_{S,c}$  as a variable at logical form that gets saturated by a contextual variable assignment.

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general, however; for instance, we must say something similar in evaluating (59):

(59) George makes great ratatouille.

In addition to giving intuitive truth-conditions for generic ability ascriptions, our account also makes an interesting further prediction: the possibility of scopal interactions between GEN and other constituents of ability ascriptions. We believe that this prediction is borne out and explains a well-known ambiguity in ability ascriptions.<sup>46</sup> Consider the following two possible logical forms for a generic ability ascription:

(60)  $\text{GEN}_t (\text{J can } (\mathcal{A}_{J,c}) (\varphi_t))$

(61)  $\text{J can } (\mathcal{A}_{J,c}) (\text{GEN}_t (\varphi_t))$

We've assumed that generic ability ascriptions have the form of (60) and mean that, in enough normal situations, there is something available for J to do such that if she tries to do it, she does  $\llbracket \varphi \rrbracket^c$ . But if GEN can scope under  $\mathcal{A}_{J,c}$  as in (61), we will find generic ability ascriptions that mean that there is something (now) available for J to do such that if she tries to do it, then in enough normal circumstances, she will do  $\llbracket \varphi \rrbracket^c$ .<sup>47</sup> Both of these two scopal possibilities seem to be manifested. Consider (62):

(62) John can speak Greek.

As Lewis (1976) observes (broadly following Aristotle 1968, 417a), a sentence like (62) can mean two things. First, it can mean that John is able, in general, to speak Greek—in other words, that he is a fluent speaker of Greek. Second, it can mean that John has the physiological and cognitive apparatus to come to be a fluent speaker of Greek, by contrast, say, with an ape; in other words, that John could learn Greek if he wanted to. These two glosses correspond precisely to the two logical forms (60) and (61), respectively. The first parse says that, in most normal situations, there is something practically available that John can try to do in order to speak Greek. The second parse says there is something practically available such that if John tries to do it, then, in normal circumstances, he speaks Greek. In short, the first says that there is normally something John can do to speak Greek; the second says there is something John can do to become a normal speaker of Greek. The possibility of scopal interactions predicted

46. We are indebted to Justin Khoo for first suggesting this possibility to us.

47. Assuming that free time variables are set by default to the time of utterance.

by the present account thus explains this ambiguity in ability ascriptions.<sup>48</sup>

## 6.2. *Uncertain Abilities*

As we noted in section 5.2, we often ascribe abilities to agents even when they are not certain to succeed at a given action should they try. The present discussion of genericity, together with our earlier discussion of objective versus subjective readings of agentive modals, helps us make sense of this use of ability ascriptions.

We touched on this topic in discussing the golfer Jones, where we said that (30) can have a true reading even if Jones actually misses, provided there was some knowledge that Jones lacked such that, if he had had it, he would have made the shot.

(30) Jones is able to make this shot right now.

But not all uncertain ability ascriptions can be handled by an appeal to lack of knowledge along these lines. In this section, we show that by carefully distinguishing generic from specific agentive modal claims—as well as objective from subjective ones—the ACA gives a satisfying account of these *uncertain ability ascriptions*.

Suppose Stephen Curry has a difficult three-point shot to make. Consider (63):

(63) Curry can make this shot.

Intuitively, given Curry's skill, (63) is true in many contexts, even though in many cases—perhaps the majority—Curry will miss when he tries to make a shot like this. How do we predict this?

In light of the present discussion, we distinguish two readings of (63): a generic reading and a specific reading. On the generic reading, (63) means something like (64):

(64) He can make this *kind* of shot.

Even if Curry was not able to make the very shot he tried to make, we can predict that sentences like (64) are true, if Curry is able to make this kind of shot in a suitable proportion of *normal cases*. What counts as a suitable proportion of normal cases is, again, highly context dependent. If the

48. This explanation of the ambiguity presupposes that it arises only for generic, not specific, ability ascriptions. This, however, seems to match intuitions.



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baseline of successful shots is quite low, what counts as a suitable proportion may likewise be quite low. Compare a generic like ‘Rats carry bubonic plague’. This may be true even though only a small proportion of rats in fact carry the plague.<sup>49</sup> Thus in a situation that involves making a highly risky shot, it may suffice for the truth of a generic like (64) that Curry succeeds, say, half the time. A generic interpretation thus may account for many true readings of uncertain ability ascriptions like (63).

What about specific readings of (63), forced by adding an overt time index, as in (65)?

(65) Curry can make this shot right now.

Our predictions about this case will depend on how we flesh it out. We distinguish cases in which Curry is ignorant in a relevant way about his practical situation (*ignorance cases*) from those in which he has no relevant ignorance (*no-ignorance cases*).

Begin with ignorance cases. We can treat these cases just as we treated Jones’s golf shot above: in these cases, (65) will have a true objective reading (but not a true subjective reading). Imagine, for example, that Curry did not know that his teammate was going to set a screen for him. Had he known about the screen, he would have used it, and made the shot; in fact, he didn’t know about it, and so he went for a different shot and missed. Then we predict a true objective reading of (65), which might be elicited by saying something like (66):<sup>50</sup>

(66) I was able to make that shot; the only reason I didn’t is that I didn’t know about the screen.

Consider now no-ignorance cases—cases in which Curry is not ignorant about any relevant features of his practical situation. These subdivide into two classes. One kind of case involves a failure of execution. Imagine, for example, that Curry tried to do a specific action that he knew was a way to make the shot, but his body failed him—he was tired and so was not quick enough to escape his defender. In a case like this, our intuition is that Curry was simply not able to make that very shot—he was too tired to execute the necessary moves. Assuming that there is no other action such that, had he tried to do it, he would have made the shot, then we rightly predict that (65) has no true reading in this scenario.

49. See again Krifka et al. 1995 and citations therein.

50. (65) will also have a false, subjective reading; after all, Curry didn’t know about the screen.

The second kind of no-ignorance case is one in which Curry's body does not fail him, but for some reason he nonetheless fails to execute the specific game-winning action. We can imagine this happening in cases of inattention or lack of focus. In this case, we are inclined to think that there is a true reading of (65): Curry was able to make the shot; he just had to pay closer attention. Suppose that Curry knew that *A* was a way to make the shot, given what he knew about his practical situation. And suppose that Curry tried to make the shot, but failed. We can still predict a true reading of (65) if we maintain that the action Curry tried was not *A*. *A* may be a highly specific and taxing action to attempt (*aiming with this arc and spin from this position*); Curry may have simply failed to try to do that action (instead simply trying something nonspecific like *making a shot*). A lack of focus, in other words, may involve a failure to *try* to do the requisite very specific action. (A common complaint from coaches: 'You're not really trying!') If that's the right diagnosis, we predict a true reading of (65): *A* is a practically available action for Curry, and if Curry had tried to do *A*, he would have made the shot. He just didn't try to do *A*.

Uncertain ability ascriptions like those discussed here bring up a variety of complex issues; our distinctions between objective versus subjective—and generic versus specific—agentive modal ascriptions help make sense of the subtle intuitions in these cases.

### 6.3. *Kenny's Objection*

Our account of generic agentive modals helps answer a classic objection to modal analyses of ability, due to Kenny (1976). Kenny points out that on a modal analysis like the orthodox one,  $\lceil S \text{ can } (\varphi \text{ or } \psi) \rceil$  is predicted to entail  $\lceil (S \text{ can } \varphi) \text{ or } (S \text{ can } \psi) \rceil$ . This entailment also follows from the ACA, provided  $\varphi$  and  $\psi$  denote specific actions. For suppose that (67) is true:

$$(67) \quad S \text{ can } (\varphi \text{ or } \psi).$$

Then there is a practically available action *A* such that the closest world where *S* tries to do *A* is one where she does  $\llbracket \varphi \vee \psi \rrbracket^c$ . That world is either one where she does  $\llbracket \varphi \rrbracket^c$  or one where she does  $\llbracket \psi \rrbracket^c$ . It follows from our semantics that, if we hold the context fixed, (68) is true.

$$(68) \quad (S \text{ can } \varphi) \text{ or } (S \text{ can } \psi).$$

But, as Kenny pointed out, this entailment is not obviously in line with intuition. (69) does not obviously entail (70):

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- (69) Leo can, right now, draw a red card or draw a black card.  
 (70) Leo can, right now, draw a red card, or Leo can, right now, draw a black card.

We have two things to say in response. Our first response parallels what some defenders of conditional excluded middle, like Stalnaker (1981), say about purported counterexamples. On this response, it is *not* determinately true that Leo can right now draw a red card; nor is it determinately true that Leo can right now draw a black card. Nonetheless, the disjunction (70) *is* determinately true, since no matter which way we fill things out, one of these disjuncts is true—even though we don't know, and there may be no fact of the matter about, which. We think that this response makes good sense of our intuitions about the relation between (69) and (70). When we reflect, we *are* inclined to think that (70) follows from (69), even though it may be that neither 'Leo can draw a red card' nor 'Leo can draw a black card' is determinately true.

The second response to Kenny's objection appeals to genericity. We predict that the entailment from (67) to (68) will be *invalid* when the ability ascriptions in question are read generically, since (71) obviously does not entail (72):

- (71)  $\text{GEN}_t (\text{J can } (A_{J,c})(\varphi_t \vee \psi_t))$   
 (72)  $\text{GEN}_t (\text{J can } (A_{J,c}(\varphi_t)) \vee \text{GEN}_t (\text{J can } (A_{J,c})(\psi_t)))$

It might be the case that, in a suitable proportion of normal situations, J can do  $\llbracket \varphi \vee \psi \rrbracket^c$ , without it being the case that, in a suitable proportion of normal situations, J can do  $\llbracket \varphi \rrbracket^c$ , or that, in a suitable proportion of normal situations, J can do  $\llbracket \psi \rrbracket^c$ . We thus straightforwardly predict that (73) fails to entail (74):

- (73) Leo can (generally) draw a red card or draw a black card.  
 (74) Leo can (generally) draw a red card, or Leo can (generally) draw a black card.

6.4. *Masks and Finks*

Our distinction between specific and generic abilities also helps us respond to another classic objection to analyzing abilities in terms of conditionals. The objection concerns cases involving so-called *masks* and *finks* (see, for example, Martin 1994, Fara 2008).

Suppose that I am able to break the vase on my desk if I drop it. But suppose the vase is wrapped in bubble wrap, which I can't presently remove. The bubble wrap *masks* my ability to break the vase. So I am

able to break the vase, even though there is no practically available action such that if I try to do it, I break the vase. This case constitutes a *prima facie* objection to both the CA and the ACA.

What should we say about this case? We maintain that the *specific* ability ascription (75) is, in fact, false.

(75) I can break this vase right now by dropping it.

I *can't* break the vase right now; the bubble wrap would thwart my attempt to do so. But the mask leaves intact my *generic* ability to break the vase:

(76) I can (generally) break the vase.

(76) is true in the case described—under normal circumstances the vase would break if I dropped it, since it would not be wrapped in bubble wrap. I have a *generic* ability to break this vase but do not have a specific ability to break the vase *right now*, since it is wrapped in bubble wrap. And if I do not have a specific ability to break the vase, this case poses no counterexample to our account.

We can respond similarly to concerns about finks, which are like masks except that they remove abilities rather than masking them. Once we clearly distinguish specific from generic ability ascriptions, we see that finks and masks do not threaten our account.

### 6.5. *Trying*

Not everything that can be the grammatical subject of an agentive modal ascription can try. This is a *prima facie* problem for our account. We close this section by showing how genericity can help with this problem.

On the one hand, we think that the notion of trying extends further than one might first imagine; consider for instance (77):

(77) The flower can follow the sun.

(Kieran Setiya, pers. comm.)

We think it is plausible that we are conceiving of the flower as *trying* to follow the sun; after all, we might say that the flower is trying to get as much light as possible. But in other cases, it is not plausible to think of the subject of an ability ascription as trying, as in (78):

(78) This elevator is able to carry three thousand pounds.

(Irene Heim, pers. comm., attributed to Maria Bittner)

It doesn't make sense to say that the elevator *tries* to carry three thousand pounds. We can dramatize this point with examples like (79):

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(79) This rock can tip the balance.

(Martin Hackl, pers. comm.)

Rocks do not try to do things. Furthermore, these modals look like ability modals, not circumstantial modals, since they can be paraphrased as ‘has the ability to’.

How can we accommodate these examples? Here’s a sketch of a response. (78) can be glossed as saying, roughly, that there is some available action (in this case, loading the elevator with three thousand pounds of freight and pressing ‘up’) such that in the closest world where *the operator of the elevator* tries to take that action, the elevator carries three thousand pounds. Likewise, (79) can be glossed as saying, roughly, that there is some available action (in this case, putting the rock on the balance) such that in the closest world where *some relevant agent* tries to take that action, the rock tips the balance.

More formally, we say that GEN can bind into the *subject index* on the set of actions and into the first argument of the selection function, in addition to the time index. Ignoring tense, a sentence like (78) would thus have the form and meaning:

$$(80) \llbracket \text{GEN}_R (\text{J can } (A_{R,c})\varphi) \rrbracket^{c,w} = \text{liff for a suitable }_c \text{ proportion of normal}_{c,w} \text{ agents } R : \exists A \in \mathcal{A}_{R,c,w} : \llbracket \varphi(J) \rrbracket^{c,f_c(R \text{ tries to } A,w)} = 1.$$

Informally: enough normal agents are such that, in normal situations, there is some practically available action  $A$  such that if they try to do  $A$ , they bring it about that  $J$  does  $\varphi$ . So, for example, (78) will mean that enough normal agents can make it the case that the elevator carries three thousand pounds right now; (79) will mean that enough normal agents can make it the case that the rock tips the balance. So, by appealing to genericity, the ACA can accommodate agentive modals whose grammatical subject is not an agent in any intuitive sense.<sup>51</sup>

Note that a similar move may be possible in order to account for some ‘-ble’ and ‘-ile’ constructions, like ‘breakable’.<sup>52</sup> Building constructions like these out of ability ascriptions may look implausible; after all,

51. A different approach to cases like this would be to make use of a slightly modified notion of “trying” in our semantics, one that is like our ordinary notion of trying but can be extended to nonagents. This alternative seems well worth exploring.

52. Though certainly not all; for instance, it is hard to see how ‘edible’ would be put into this mold.

(81) clearly does not say anything about whether the vase would break *if it tried something*.

(81) This vase is breakable.

But we may posit an implicit generic agent who does the trying, so that (81) means that, in normal circumstances, a normal agent can break the vase. ‘-ble’ and ‘-ile’ constructions are complex and varied, but this may provide a basis for an analysis of the subset of these constructions that are, in some broad sense, about ability.<sup>53</sup>

## 7. Conclusion

We have advocated a new semantics for agentive modals, the *act conditional analysis*. Our semantics builds on an old idea about ability: that what one can do depends on what would happen if one tried. But our account avoids the problems that led us, and many other researchers, to reject the conditional analysis. By incorporating quantification over a contextually supplied set of actions into the meaning of ‘can’, this approach makes plausible predictions about agentive modals, avoiding the array of objections that we enumerated for the conditional analysis, as well as the orthodox and universal approaches.

We have highlighted the relation between ability modals and compulsion modals, arguing that making the right predictions about the meaning of compulsion modals provides a crucial criterion of adequacy for accounts of ability modals. We have further suggested that distinguishing the class of compulsion modals—and the broader class of agentive modals, which includes both ability and compulsion modals—is critical for the theory of natural language modality, as well as for an array of broader philosophical issues.

We conclude by mentioning some of those issues, as well as some questions that we have left open. First, we think that compulsion modals may play a role in making sense of intuitions about freedom and compulsion in the philosophical debate on free will. Appeal to the notion of practical availability may allow us to make sense of malleable and contradictory intuitions about freedom and related notions, as well as to make precise the traditional idea that freedom, on the one hand, and ability and compulsion, on the other, are closely connected.

53. See Maier 2015c and Vetter 2014 for recent discussions related to the present proposal.

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Another important question that we leave open is the cross-modal picture that our account suggests. On our account, agentive ‘can’ differs in important ways from circumstantial, deontic, and epistemic ‘can’, at least as those are treated in the orthodox framework. Like the orthodoxy, our semantics treats agentive ‘can’ as an existential quantifier. But in contrast to the orthodoxy, in our account, ‘can’ quantifies over a set of actions (properties), not a set of worlds; and a conditional selection function plays a crucial role in its semantics. If we leave unchanged the orthodox account of circumstantial, deontic, and epistemic ‘can’, we must thus maintain that ‘can’ is lexically polysemous: it can be interpreted either as an ordinary existential quantifier, to yield a circumstantial, deontic, or epistemic reading, or it can be interpreted as on the ACA, to yield an agentive reading.

Is this proposed polysemy a substantial drawback? The answer to this will depend partly on the cross-linguistic picture—how uniformly languages use the same word for an agentive modal that they use for other kinds of modals—as well as on how successful the orthodoxy ends up being for other flavors of modality. We should note, though, that we can in theory preserve the predictions of the ACA, while preserving the uniformity of the orthodox framework, by shoehorning the ACA into the orthodox framework. For any agent  $S$ , let the modal base take any world  $w$  to  $\emptyset$  and let the ordering source take any world  $w$  to  $\{\{w'\} : \exists A \in \mathcal{A}_{S,c,w} (w' = f_c(S \text{ tries to } A, w))\}$ . This account makes the same predictions as ours. But—assuming that the elements of the semantic formalism are meant to map onto a metasemantic story in some straightforward way—it involves awkward metasemantic commitments. A metasemantics for both the ACA and this orthodox recasting of the ACA must account for how  $\mathcal{A}_{S,c,w}$  and  $f_c$  are determined, since both views refer to these parameters. But this recasting of our view in the orthodox framework *also* makes reference to a highly gerrymandered ordering source. It is hard to see how this ordering source could play any role in an explanatory metasemantics. So we think that there is reason simply to dispense with it and to adopt the ACA in the form in which we have spelled it out.

Unification, however, might also be possible in a different direction: not by shoehorning the ACA into the orthodox framework, but rather by replacing the orthodox framework with a unified framework structured more like the ACA. Indeed, a number of recent proposals have departed from orthodoxy in a manner similar to the ACA, incorporating quantification over sets of properties or propositions into the meaning of modals. This raises the possibility that our proposal could be part of a

new, unified approach to modality.<sup>54</sup> We leave further exploration of this question for future work; the answer will turn on further work on the semantics, metasemantics, and crosslinguistic typology of modals.

A final important question that we leave open is how ability modals interact with tense and aspect to yield “actuality implications” from  $\lceil S$  was able to  $\varphi \rceil$  to  $\lceil S$  did  $\varphi \rceil$  (see Bhatt 1999 and Hacquard 2006).

There is more to say about agentive modals, then. However these details are worked out, we hope to have laid the framework for an account that answers to an important intuition about what agentive modals are used to do. When someone says  $\lceil I$  can  $\varphi \rceil$ , she is giving a kind of hypothetical guarantee about her relationship to  $\llbracket \varphi \rrbracket^c$ . She is assuring her interlocutors that  $\llbracket \varphi \rrbracket^c$  is within her control in a certain way—that is, that there is some action that she could reasonably conclude in favor of doing, such that if she tries to do it, she succeeds in doing  $\llbracket \varphi \rrbracket^c$ . And when someone says  $\lceil I$  cannot but  $\varphi \rceil$ , she is giving a kind of nonhypothetical guarantee about her relationship to  $\llbracket \varphi \rrbracket^c$ . She is assuring her interlocutors that refraining from  $\llbracket \varphi \rrbracket^c$  is not an option for her; that is, that she will  $\llbracket \varphi \rrbracket^c$  no matter what.

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54. For recent work along structurally similar lines, see, among others, Cariani, Kaufmann, and Kaufmann 2013 and Cariani 2013 for related approaches to deontic modals; Moss 2015 on epistemic modals; Yalcin 2012 and Willer 2013 on attitude verbs; and Villalta 2008 on verbs of desire.



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